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**IMPORTANT NOTICE**  
**TELECOPY/FACSIMILE COVER LETTER**

TO: Office of Initial Patent Examination's  
Filing Receipt Corrections

DATE: 2/9/2006

FROM: Mr. Reynaldo F. Gallardo

TIME: 3:43 PM

TOTAL NO. OF PAGES, INCLUDING COVER: 12

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**MESSAGE:**

Please note the error on the attached Filing Receipt on the Applicant(s) Section, the Residence City; Iwata-shi is wrong and the correct Residence City should be "SHIZUOKA," and the last Applicant(s) Name is missing "TOMOHINO ONO, SHIZUOKA, JAPAN." In the Title Section, the title is wrong and the correct title is "DYNAMO-ELECTRIC MACHINE."

Attached for your reference the documents that we filed on September 6, 2005 in Response to Notification of Missing Requirements. Please provide us with the Corrected Filing Receipt as soon as possible.

**FOR INTERNAL PURPOSES ONLY**

TELECOPY/FAX NUMBER: 571-273-8300  
CLIENT NUMBER: 89277.0053  
ATTORNEY BILLING NUMBER: Reynaldo F. Gallardo  
CONFIRMATION NUMBER: 213-337-6701

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T-721 P.002/012 F-343

FEB 09 2006



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/523,916	09/08/2005	2824	1030	89277.0053	13	20	3

CONFIRMATION NO. 1926

26021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

## FILING RECEIPT

  
"OC000000017924427"

Date Mailed: 01/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shinya Naito, Iwata-shi, JAPAN;  
Haruyoshi Hino, Iwata-shi, JAPAN;  
Hiroyuki Ishihara, Iwata-shi, JAPAN;  
Keiko Murota, Iwata-shi, JAPAN;  
Junji Terada, Iwata-shi, JAPAN;  
Tomohiro Ono, Shizuoka, JAPAN

RESIDENCE CITY:

SHIZUOKA, JAPANPower of Attorney: The patent practitioners associated with Customer Number 26021.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/10388 08/15/2003

## Foreign Applications

JAPAN 2002-237336 08/16/2002

Projected Publication Date: 05/04/2006

Non-Publication Request: No

Early Publication Request: No

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FEB 01 2006

DOCKETING

Title

Rotating electric machine

- DYNAMO - ELECTRIC MACHINE

Preliminary Class

310

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. 10/523,916	FIRST NAMED APPLICANT Shinya Naito	ATTY. DOCKET NO. 89277.0053
INTERNATIONAL APPLICATION NO. PCT/JP03/10388		
IA. FILING DATE 08/15/2003	PRIORITY DATE 08/16/2002	

26021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

CONFIRMATION NO. 1926

371 ACCEPTANCE LETTER

\*OC000000017924428\*

Date Mailed: 01/27/2006

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>09/08/2005</u>	<u>09/08/2005</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363).** Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 02/07/2005
- English Translation of the IA filed on 02/07/2005
- Copy of the International Search Report filed on 02/07/2005
- Copy of IPE Report filed on 02/07/2005
- Preliminary Amendments filed on 02/07/2005
- Information Disclosure Statements filed on 02/07/2005
- Oath or Declaration filed on 09/08/2005
- Request for Immediate Examination filed on 02/07/2005
- U.S. Basic National Fees filed on 02/07/2005
- Priority Documents filed on 02/07/2005
- Power of Attorney filed on 09/08/2005

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Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

SHAKEEL AHMED  
Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

S.N. 10/523,916 File No. 8277.0053 Date Mailed 9.6.05 By: LDW/gc  
 Title Dynamo-Electric Machine  
 (Client Name) Yamaha  
 The Following due 9.18.05 in the U.S. Patent and Trademark Office was received in the Patent & Trademark Office on the date stamped herein.

<input type="checkbox"/> Amendment	Drawing # of Sheets
<input type="checkbox"/> Preliminary Amendment	<input type="checkbox"/> Formal <input type="checkbox"/> Informal
<input type="checkbox"/> PCT Application Including	<input type="checkbox"/> Issue Fee Transmittal
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<input checked="" type="checkbox"/> Declaration, Affidavit of Oath ( <u>2</u> Page(s))	<input type="checkbox"/> Advance soft copy order
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<input type="checkbox"/> Verified Statement	<input type="checkbox"/> IDS: <input type="checkbox"/> References
<input type="checkbox"/> Letter of Transmittal	<input type="checkbox"/> Certified Copy # of docs
<input type="checkbox"/> Amendment Under 37 C.F.R. 1.312(a)	<input checked="" type="checkbox"/> Response to NMR
<input type="checkbox"/> Check No. <input type="checkbox"/> for \$	<input type="checkbox"/> Publication Fee
<input type="checkbox"/> Check No. <input type="checkbox"/> for \$	<input type="checkbox"/> Priority
<input type="checkbox"/> Check No. <input type="checkbox"/> for \$	

S.N. 10/523,916 File No. 8277.0053 Date Mailed 9.6.05 By: LDW/gc  
 Title Dynamo-Electric Machine  
 (Client Name) Yamaha  
 The Following due 9.18.05 in the U.S. Patent and Trademark Office was received in the Patent & Trademark Office on the date stamped herein.

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Amendment Drawing: # of Sheets  
 Preliminary Amendment ☐ Formal ☐ Informal  
 PCT Application Including ☐ Issue Fee Transmittal  
 Pages Spec. ☐ Page Abstract ☐ Claims ☐ Letter Re  
 Application for Patent Including ☐ Notice of Appeal  
 Pages Spec. ☐ Page Abstract ☐ Claims ☐ Petition for  
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1000 Rec'd PCT/PTO 08 SEP 2005

PATENT  
89277.0053

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Shinya NAITO et al.

Serial No: 11/523,916

Filed: February 7, 2005

For: Dynamo-Electric Machine

Art Unit: Not assigned

Examiner: Not assigned

**RESPONSE TO NOTIFICATION OF MISSING  
REQUIREMENTS**

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September 6, 2005

Date of Deposit

Gary Chernyavsky

Name

Signature

9/6/05

Date

Dear Sir:

In response to the "Notification of Missing Requirements, Filing Date Granted," dated July 18, 2005, response to which is due September 18, 2005, enclosed are the following:

- ☒ A copy of the "Notification of Missing Requirements Filing Date Granted."
- ☒ A "Declaration and Power of Attorney" executed by the inventor(s).

The Commissioner is authorized to charge any underpayment to our Deposit Account No. 50-1314. A copy of this letter is enclosed.

Respectfully submitted,  
Date: September 6, 2005

By: 

Lawrence J. McClure  
Registration No. 44,228  
Attorney for Applicant(s)

HOGAN & HARTSON L.L.P.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701



PATENT (U.S.A.)  
ATTORNEY'S DOCKET NO.  
89277.0053DECLARATION  
and POWER OF ATTORNEY☒ ORIGINAL  
☐ CONTINUATION  
☐ DIVISIONAL

As a below named inventor, I declare that the information given herein is true, that I believe that I am the original, first and sole inventor (if only one name is listed as 1 below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
**DYNAMO-ELECTRIC MACHINE.**

the specification of which is attached hereto unless the following box is checked:  
☒ was filed on February 7, 2005 as United States Application Number or PCT International Application Number 10/529,918.

My residence, post office address and citizenship are as stated below next to my name.  
I acknowledge my duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations § 1.56(a).  
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.  
I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)			
COUNTRY	APPLICATION NUMBER	DATE OF FILING Month Day Year	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Japan	2002-237336	August 16, 2002	Yes

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Serial No.) (Filing Date) (Status)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or Agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. ☒ Customer Number 000026021

Send correspondence to:

☒ Customer Number000026021

Hogan & Hartson LLP.  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071

DIRECT TELEPHONE CALLS TO:  
Troy M. Schmetzer  
213-337-6785

(Please Print)

1	Name of Inventor <b>Shinya NAITO</b>	Residence: CITY <b>Shizuoka</b>	STATE or COUNTRY <b>Japan</b>
	Post Office Address <b>c/o YAMAHA HATSUDOKI KABUSHIKI KAISHA 2500 Shingai, Iwata-shi, Shizuoka-ken 438-8501, Japan</b>		CITIZENSHIP <b>Japan</b>
2	Name of Inventor <b>Haruyoshi HINO</b>	Residence: CITY <b>Shizuoka</b>	STATE or COUNTRY <b>Japan</b>
	Post Office Address <b>c/o YAMAHA HATSUDOKI KABUSHIKI KAISHA 2500 Shingai, Iwata-shi, Shizuoka-ken 438-8501, Japan</b>		CITIZENSHIP <b>Japan</b>
3	Name of Inventor <b>Hiroyuki ISHIMURA</b>	Residence: CITY <b>Shizuoka</b>	STATE or COUNTRY <b>Japan</b>
	Post Office Address <b>c/o YAMAHA HATSUDOKI KABUSHIKI KAISHA 2500 Shingai, Iwata-shi, Shizuoka-ken 438-8501, Japan</b>		CITIZENSHIP <b>Japan</b>
4	Name of Inventor <b>Keiko MUROTA</b>	Residence: CITY <b>Shizuoka</b>	STATE or COUNTRY <b>Japan</b>
	Post Office Address <b>c/o YAMAHA HATSUDOKI KABUSHIKI KAISHA 2500 Shingai, Iwata-shi, Shizuoka-ken 438-8501, Japan</b>		CITIZENSHIP <b>Japan</b>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 1 <b>Shinya Naito</b>	SIGNATURE OF INVENTOR 2 <b>Haruyoshi Hino</b>
DATE <b>August 17, 2005</b>	DATE <b>August 17, 2005</b>
SIGNATURE OF INVENTOR 3 <b>Hiroyuki Ishimura</b>	SIGNATURE OF INVENTOR 4 <b>Keiko Murota</b>
DATE <b>August 17, 2005</b>	DATE <b>August 17, 2005</b>

Supplemental Declaration and  
Power of Attorney  
Page 2

89277.0053

5	Name of Inventor Junji TERADA	Residence: CITY Shizuoka	STATE or COUNTRY Japan
	Post Office Address c/o YAMAHA HATSUDOKI KASUSHIKI KAISHA 2500 Shingai, Iwata-shi, Shizuoka-ken 438-8501, Japan		CITIZENSHIP Japan
6	Name of Inventor Tomohiro ONO	Residence: CITY Shizuoka	STATE or COUNTRY Japan
	Post Office Address		CITIZENSHIP Japan
7	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP
8	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP
9	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP
10	Name of Inventor	Residence: CITY	STATE or COUNTRY
	Post Office Address		CITIZENSHIP

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 5 <i>Junji Terada</i>	SIGNATURE OF INVENTOR 6 <i>Tomohiro Ono</i>
DATE <i>August 31, 2005</i>	DATE <i>August 24, 2005</i>
SIGNATURE OF INVENTOR 7	SIGNATURE OF INVENTOR 8
DATE	DATE
SIGNATURE OF INVENTOR 9	SIGNATURE OF INVENTOR 10
DATE	DATE



## UNITED STATES PATENT AND TRADEMARK OFFICE

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YAMAHA CORP. / TMS

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523,916	Shinya Naito	89277.0053

000026021  
HOGAN & HARTSON L.L.P.  
500 S. GRAND AVENUE  
SUITE 1900  
LOS ANGELES, CA 90071-2611

INTERNATIONAL APPLICATION NO.	
PCT/JP03/10388	
IA FILING DATE	PRIORITY DATE
08/15/2003	08/16/2002

CONFIRMATION NO. 1926  
371 FORMALITIES LETTER

10000000016540213  
\*OC000000016540213\*

**THIS MATTER DOCKETED  
FOR 09-18-05**

Date Mailed: 07/18/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/07/2005
- English Translation of the IA filed on 02/07/2005
- Copy of the International Search Report filed on 02/07/2005
- Copy of IPE Report filed on 02/07/2005
- Preliminary Amendments filed on 02/07/2005
- Information Disclosure Statements filed on 02/07/2005
- Request for Immediate Examination filed on 02/07/2005
- U.S. Basic National Fees filed on 02/07/2005
- Priority Documents filed on 02/07/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

**RECEIVED**  
JUL 20 2005  
**DOCKETIN**

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,916	PCT/JP03/10388	89277.0053

FORM PCT/DO/EO/905 (371 Formalities Notice)

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